Molecular Pathogenesis of Atherosclerosis and Implication for Therapy

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ABSTRACT

A growing body of evidence supports the role of inflammation in the pathogenesis of atherosclerosis. However, the supposed initiation factors of atherogenesis are infection and change in shear stress on certain location, leading to attachment of LDL and subsequent oxidation. The pathway activated are the NFkB and TGFβ leading to endothelial dysfunction and production of inflammatory cytokines and adhesion factors followed by recruitment of inflammatory cells to the site, oxLDL internalization and foam cell formation in the fatty streak that later develops into atherosclerotic plaque. Further, p53signaling causes apoptosis leading to plaque rupture, platelet activation and aggregation ending in clinical manifestations. Moreover, numerous individual risk factors might aggravate the condition, and the progress might take decades depending on the balance of pro and anti atherogenic factors. Therefore, management of atherosclerosis addressing the individual risk factors using drugs with various properties coping with the molecular basis especially inflammation is beneficial.

KEYWORDS: Leukocyte trafficking, intima inflammation, fatty streak, foam cells

INTRODUCTION

Recently, a growing body of evidence supports the role of chronic inflammation in the pathogenesis of atherosclerosis. The evidence showed that atheroma plaque contains T lymphocytes that are part of Ag specific/adaptive immune response, and mononuclear phagocytic lineage that are part of the innate immune response. The T lymphocytes present are especially from the pro-inflammatory Th1 type that is pro atherogenic, though the antigen specificity is not well established. A fraction is reactive to oxidized LDL (oxLDL), modified LDL molecules, or other antigens.

Many risk factors are attributed to atherosclerosis, but mostly are systemic, such as chronic infection, hypertension, lipid abnormalities, obesity, especially intra abdominal V/ visceral/ metabolic obesity, diabetes, autoimmunity, or elevated levels of plasma factors such as fibrinogen, etc. while atherosclerosis occurred only on certain sites. Atherosclerosis is believed to be preceded by initial endothelial inflammation aggravated by other atherogenic risk factors. However, the predilection sites of atherosclerosis such as at branching and bending points pose questions of how the systemic risk factors lead to development of atheroma plaques only at certain site and not the entire blood vessel. This review addresses the development of atherosclerosis, especially- fac tors that lead to molecular events, including the role of chronic infection, haemodynamic factors (shear stress), modified LDL, and the various subsequent impairments in the level of molecular factors. Finally, implication to therapy is addressed.

Development of atherosclerosis

Atherosclerosis begins with uptake of modified LDL at certain sites followed by accumulation, adhesion and penetration of inflammatory cells especially macrophages and lymphocytes into the intima of blood vessels leading to the formation of fatty streaks. The fatty streak then develops micro vessels containing atheroma plaque. Therefore an atheroma plaque has macro and microvasculature surfaces. The whole process continues on the macro and microvasculature, and then aggravated by smooth muscles proliferation and migration to the intima followed by extra cellular matrix formation that after a long period that usually takes decades ends up in fibrous atheromatous lesion/atherosclerotic plaque that is prone to rupture leading to thrombosis that provokes the clinical manifestations (Figure 1).5

The role of chronic infection in atherogenesis

Infection both viral such as by herpes and cytomegaloviruses and bacterial such as by Chlamydia and Helicobacter species was thought to play a role in atherogenesis and acute coronary syndrome. Herpes viruses, Chlamydia penumoniae and Helicobacter pylori DNA was found in human atheroma, though...
Helicobacter pylori and Chlamydia pneumoniae DNA was also found in non atherosclerotic control arteries without significant difference. Therefore, infection might serve as initiation of atherogenesis that takes time to develop, thus in the early process, the bacteria can be found in normal seeming arteries.

Two studies showed correlation between Chlamydia pneumoniae and Helicobacter pylori with atherogenesis due to the presence of Helicobacter pylori and Chlamydia pneumoniae DNA along with interleukin-6 (IL-6), which induces the liver to produce high titers of acute phase reactants i.e. C-reactive protein (CRP). Further, the presence of Chlamydia pneumoniae IgG was correlated with the risk of developing coronary heart disease. Another study showed that 85.5% of continuous ambulatory peritoneal dialysis patients had poor oral health status and periodontal disease. In addition, periodontal disease was correlated to markers of inflammation, and atherosclerosis. Furthermore, a systematic review and meta-analysis showed that periodontal disease with elevated bacterial exposure was correlated to carotid intima-media thickening indicating early atherogenesis.

The role of hypertension in atherogenesis

Hypertension might be due to many factors such as increase activity in renin angiotensin system or other factors, and subsequently, hypertension causes increase in haemodynamic forces (Figure 1).

Further, macrophages and smooth muscles produce more pro-inflammatory cytokines: i.e. interleukin-8 (IL-8), interferon γ inducible protein 10 (IP10), more monocyte chemoattractant proteins (MCP-1, MCP-4), and interferon inducible T cell α-chemoattractant (I-TAC) leading to chemotaxis of inflammatory cells.

Higher pulsating shear stress on certain site that might be due to increased blood pressure also causes an increase in endothelial nitric oxide synthase (eNOS) gene production and thus nitric oxide (NO), while low shear stress causes an opposite response. Nitric oxide has anti atherogenic properties, and increased NO production subsequently causes vasodilation that is intended to control the blood pressure.

Upregulation of ICAM-1, PDGF and eNOS is thought under the influence of transcription factors of the rel family. However, one of the member of the rel family member is the shear stress regulated nuclear factor kappa B (NFkB), whose activation is due to reduced shear stress and subsequent decrease in NO production in microvasculature.

Further, shear stress causes the endothelial and muscle cells of the intima to express heparan sulfate proteoglycan that binds the apoprotein B100 moiety of LDL, thus making the LDL susceptible to modification especially oxidation. Therefore, change in shear stress on a certain site might initiate atherogenesis on that site, and might explain why atherogenesis occurs on predilection sites only and not along the entire blood vessel.

The role of renin angiotensin system in atherogenesis

The renin-angiotensin (RAS) hormonal system controls body homeostasis of fluid, blood pressure, and cardiovascular function. Every tissue and organ has...
their own locally regulated RAS system. In this system, renin (a peptidase) cleaves angiotensinogen into angiotensin I (a mild vasodilator that is subsequently cleaved by the angiotensin-converting enzyme into the biologically active angiotensin II that is a potent vasoconstrictor). The components of RAS system might be produced locally and/or may be taken from the circulation. An overactive RAS system produces more angiotensin II leading to hypertension. In addition, it induces the generation of reactive oxygen species (ROS) that causes "oxidative stress," activation of the NFkB pathway that causes production of inflammatory cytokines, and endothelial dysfunction. Further, it causes cell hypertrophy, fibroblast proliferation, excess of extra cellular matrix formation and finally atherosclerotic plaque.12

**The role of modified LDL in the formation of fatty streak and atheroma plaque**

Many kinds of modified LDL are thought to play a role in atherogenesis, such as oxidized LDL (oxLDL), acetylated LDL, aggregated LDL, LDL-IgG complex, LDL- proteoglycans complex that make it prone to oxidation and aggregation, and enzymatically modified LDL.20

Modified LDLS, especially oxidized LDL (oxLDL) bound by heparan sulfate proteoglycan is proven to induce pro inflammatory genes, stimulate the endothelium to express CCR2 (MCP-1 rec), and to produce MCP-1, monocyte colony stimulating factor (M-CSF), interleukin-1 (IL-1), leucocyte adhesion molecules (E-selectin, P-selectin, ICAM-1, and VCAM-1), and activate T cells to make auto antibodies toward oxLDL. Oxidized LDL itself is a potent chemo-attractant for inflammatory cells especially monocytes and to a certain extent for lymphocytes.2,5,20

The binding of oxLDL and production of MCP-1 attract more monocytes and lymphocytes to the area and subsequent binding of the inflammatory cells to adhesion molecules causes transmigration/ trafficking across endothelium that ends up in vascular intima inflammation. Macrophages accumulated in the intima lead to the formation of foam cell rich fatty streak.5

**Formation and accumulation of foam cells**

Oxidized LDL is prone to aggregation, and in the form of aggregate it is more readily taken up by macrophages via their scavenger receptor. Scavenger receptors for modified LDL (Table 1) can be found on macrophages, smooth muscles, and endothelium. One of the endothelial receptor, the lectin-like oxLDL receptor-1 (LOX-1) contains unique repetitive sequence that causes up-regulation of the receptor upon increase in blood pressure.21 Therefore, hypertension as a risk factor of atherosclerosis can be explained by this additional fact.

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**Table 1. Modified LDLS and their receptors**10,21

<table>
<thead>
<tr>
<th>Modified LDL</th>
<th>Receptors</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oxidized LDL</td>
<td>SRA, CD-36, CD-38 (macroversin), LOX-1, SR-PSOX</td>
</tr>
<tr>
<td>Acetylated LDL</td>
<td>SRA</td>
</tr>
<tr>
<td>Aggregated LDL</td>
<td>native LDL-R</td>
</tr>
<tr>
<td>LDL-IgG complex</td>
<td>LDL-R, Fc Rec</td>
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</tbody>
</table>

When the cells contain a lot of oxLDL, they transform into foam cells. Further, oxLDL stimulates macrophages to express more scavenger receptor, thus more oxLDL uptake, and inhibits macrophage motility, thus causing the macrophages to remain at the site of atherogenesis. In addition, ox LDL confers mitogenic activity to smooth muscle cells and macrophages, causing them to accumulate at the site of atherogenesis and becoming the sources of foam cells. Further, macrophages secrete growth factors that cause evolution of the fatty streak due to stromal cell proliferation, extra-cellular matrix remodeling, and neovascularization that ends up in granuloma formation, thus developing surfaces of macro and microvasculature in an atheroma plaque (Figure 2).5,20

**Figure 2. Foam cell and granuloma formation**

mLDL= modified LDL, scr = scavenger receptor, oxLDL= oxidized LDL, GF = growth factor, microV = microvasculature

**The role of white adipose tissue in atherogenesis**

Various studies revealed that white adipose tissue secretes pro inflammatory cytokines (Figure 1) such tumor necrosis factor α (TNF-α), IL-1, interleukin-1 receptor antagonist (IL-1Ra), IL-6, IL-8, MCP-1, IP-10, RANTES, and peptides with hormonal activity such as adiponectin, leptin and resistin. Therefore, the increase in pro atherogenic cytokines secreted by the
voluminous perivascular adipose tissue in obese individuals might exert paracrine signaling on the surrounded artery and thus contribute to inflammatory changes and thus atherogenesis.9

Further, visceral fat are different from subcutaneous-fat in the properties of their resident macrophages. In visceral fat, the resident macrophages produce more of certain pro inflammatory cytokines i.e. tumor necrosis factor α (TNF-α) and interleukin-6 (IL-6), but less adiponectin. The increased cytokines might induce insulin resistance that together contribute to endothelial dysfunction and subsequent atherosclerosis in individuals with metabolic obesity.22

**The role of hyperglycaemia and insulin resistance in atherogenesis**

A study showed that high D-glucose activated the bax-caspase pathway leading to apoptosis in human endothelium that initiated atherogenesis. Further, hyperglycaemia activated the protein kinase C pathway and subsequently NADPH oxidase, which was supposed as a major source of super-oxide, one of the reactive oxygen species (ROS) in cardiovascular cells. Therefore, hyperglycaemia might indirectly cause oxidative stress leading to endothelial dysfunction of the coronary arteries in diabetic patients.23 Further, insulin is thought to stimulate the release of NO from endothelium.24 Therefore insulin resistance in type 2 diabetes might cause endothelial dysfunction, leading to atherogenesis (Figure 1).25

**The role of platelet in atherogenesis**

A study revealed that in diabetic patients, circulating P-selectin positive platelets are higher compared to those in non-diabetic patients, and are significantly correlated to carotid atherosclerosis. Furthermore, several studies in atherosclerosis prone mice revealed that activated platelets aggregated with leukocytes, release pro inflammatory cytokines and growth regulatory molecules, causing endothelial activation, inflammatory cells recruitment and altered smooth muscle cell function leading to atherosclerosis. Further, in the circulation of patients with coronary artery diseases, activated platelets and their aggregates with leukocytes are found.26

**The role oxidative stress and ROS in atherogenesis**

Reactive oxygen species are always generated in metabolic pathway, and our body has a mean to cope with normal levels of ROS. In case of increased ROS that eluded body surveillance, it might cause oxidative stress ending is various deleterious consequences, either by acting directly on various tissues and molecules such as oxidation of LDL to form oxLDL or through NO depletion, both are known to be pro atherogenic. Further, ROS modulate cell contraction, migration, growth/apoptosis and extracellular matrix turnover, in a deleterious way contributing to atherogenesis.27

**Progression of fatty streak and atheroma plaque into fibrous atheromatous lesion/atherosclerotic plaque**

After granuloma formation, trafficking of inflammatory cells continues, with ICAM, and P-selectin as adhesion molecules at the macro-vasculature, and VCAM as the adhesion molecule at the micro-vasculature.5 In microvasculature, decrease blood flow causes decrease in shear stress, thus decrease in NO production. Decreased NO released its inhibitory effect on NfκB, which causes up-regulation in NfκB regulated genes. In addition, decreased NO release the inhibitory effect on smooth muscle contraction and proliferation, on platelet aggregation, and VCAM-1 transcription causing subsequent increase in VCAM-1.5 Further, growth factors from macrophages cause smooth muscles proliferation that migrate into intima and produce extra cellular matrix especially collagen type I.5,28

In macrovasculature, increased NO due to threshold-exceeding shear stress has anti atherogenic properties and will balance the pro atherogenic effect of other factors released by increased shear stress. Therefore, the progress of atherogenesis into fibrous atheromatous lesion/atherosclerotic plaque might take decades depending the balancing between anti and pro atherogenic properties (Figure 1). Activation of NfκB in microvasculature and TGF-β pathway in macrovasculature lead to induction of various pro inflammatory cytokines (Figure 1), such as CXCL-2, -3, -6, -10, interleukin-8, fractalkine (CX3CL1), and regulated on activation normal T cell expressed and secreted [RANTES (CCL5)]. Some of them show leukocyte chemotactic properties, while others are endothelial adhesive proteins for leukocytes, thus causing accumulation of mononuclear leucocytes. Activation of NfκB pathway can also be activated by various systemic stimuli such as oxLDL, C reactive protein, and cytokines such as interleukin-1, tumor necrosis factor (TNF) and several pathway proteins such as RelA, TP53BP2, TRAF1, and TRAF5.2

A study on micro-array gene studies to reveal endothelial transcriptomes/enriched gene expression in atherosclerotic lesion versus plaque free region concluded that the various chemokines, cytokines, adhesion molecules, and other molecules involved in atherosclerosis were under the NfκB and TGFβ pathway, and p53signaling, which was in agreement with immunohistochemistry staining. Further, in vitro TGFβ was shown to increase endothelial permeability through SMAD2-dependent p38 activation, and to induce pro atherogenic genes such as LOX-1, atherothrombotic factor PAI-1, and leukocyte adhesive proteins ICAM-1 and MCP-1. However, an opposite result was shown in a mouse model, where disruption of TGFβ induced ICAM-1 and VCAM-1, showing antiatherogenic properties of TGFβ.2

In advanced atherosclerosis, soluble chemokines for a variety of leukocytes under NfκB2 pathway, phos-
phorilated SMAD2 and ICAM-1 in TGFβ pathway, and BAX in apoptosis related p53 signaling, were up regulated, while in early atherosclerosis fractalkine/CX3CL1, IP10/CCL10, which were endothelial associated cytokines for monocytes and T cells adhesion, and TBX18 and ICAM-1 were upregulated. However, the best marker indicating early atherosclerosis is fractalkine.2

Rupture of atherosclerotic plaque and clinical manifestation

In advanced atherosclerosis, oxLDL that is cytotoxic will cause apoptosis of the cells causing rupture of the plaque, and may activate pro coagulant pathway, thus induces tissue factors and causes platelet aggregation leading to thrombosis. In addition, the macrophages secrete enzymes such as collagenase, gelatinase, stromelysin, proteinase cathepsin that cause matrix degradation/ endothelial cell desquamation leading to plaque rupture, exposing the basement membrane collagen, thus promoting platelet activation and aggregation leading to thrombosis and clinical manifestation i.e. acute coronary syndrome.5,29

Chronic inflammatory cells accumulated in atherosclerotic plaque were macrophages and lymphocytes. Among the lymphocytes, recently, two subsets different from Th1 and Th2 cells, namely CD4+CD25+Foxp3+ regulatory T (Treg) cells and Th17 cells were described. They have an opposite effects on autoimmunity compared to Th1 and Th2. A study showed that balance of Th17/Treg was important to prevent the progress of plaque rupture, exposing the basement membrane collagen, thus promoting platelet activation and aggregation leading to thrombosis and clinical manifestation i.e. acute coronary syndrome.22

In a majority of Japanese patients, arterial spasm is supposed to play a role in the clinical manifestation of coronary atherosclerosis, as the spasm can cause occlusion of the arterial lumen already narrowed by the presence of atherosclerotic lesion in the absence of plaque rupture.31

Implication for therapy

Efforts to delay the progress and thus clinical manifestation of atherosclerosis are aimed at the risk factors such as controlling metabolic obesity, hypertension, diabetes, lipid profile, combating chronic infection, anti platelet agents, or vasodilators.

Controlling metabolic obesity and diabetes

Controlling obesity by dieting and exercise might be beneficial to prevent or to delay the progress of atherosclerosis. Further, reducing peripheral fat by liposuction was shown to decrease cardiovascular risk factors. However, how much visceral fat reduction needed that lead to favorable metabolic changes is still a question.22

Controlling diabetes is thought to be the best way in improving endothelial function, and thus slowing the progress of atherosclerosis in diabetic patients. Further, various trials showed that statins (usually used in controlling lipid profile) or angiotensin converting enzyme inhibitors (usually used to control hypertension) was correlated with endothelial function improvements in diabetic individuals.25

Controlling hypertension

As hypertension is one of the many risk factors of atherosclerosis, and causes increase in shear stress in macro vasculature, controlling hypertension is crucial in prevention and to halt the progress of atherosclerosis. Of the various anti hypertensive agents, some showed beneficial effect to prevent the progress of atherosclerosis, especially those having peripheral vasodilation effect.31,32 One of the many anti hypertensive agents, the selective beta-adrenoceptor blocking agent, nevibolol, besides lowering hypertension, showed beneficial effect on endothelial dysfunction, and could normalize perfusion in a study on 36 patients with primary hypertension and abnormal myocardial perfusion.32

Comparison of a calcium channel blocker, nifedipine that also has antioxidant property with either of the several angiotensin-converting enzyme (ACE) inhibitor (enalapril, lisinopril or imidapril) was done in a Japan multicenter study on Japanese hypertensive patients with concomitant coronary artery disease by quantitative coronary angiogram (QCA) analysis. The result showed that nifedipine was better than ACE inhibitor in inhibiting the progression of coronary atherosclerosis and the development of coronary artery stenosis.31

Controlling lipid level

The most deleterious of all lipids is LDL especially when it is modified by oxidation (oxLDL). Therefore lipid lowering agents are thought to be able to prevent the progress of atherosclerosis. Garlic allicor tablet showed moderate hypolipidemic and antioxidant effect at a dose of 600 mg per day in patients with clinical signs of atherosclerosis.23

In addition, one family of the most widely prescribed cholesterol-lowering agents, the statins that work as HMG-CoA reductase inhibitors showed antioxidant effect, and is supposed to improve endothelial function in nitric oxide production.23 Several cross-sectional trials on rheumatoid arthritis patients suggested that statins have beneficial effect on endothelial dysfunction, and have anti inflammatory and anti proliferative effects as they caused improvement in plasma inflammation markers, such as C-reactive protein.24 Another studies using various imaging techniques showed that statins can stop or delay the progress of atherosclerosis and in some cases, even lead to plaque regression.25 Further, statins showed few adverse effects, thus they are relatively safe.24
Therefore, statins can be considered as the first-line drug for the prevention of atherosclerosis progression and its clinical manifestation. Meta-analyses including several primary and secondary intervention studies showed statins significantly reduced cardiovascular events, but the responses showed considerable inter individual variations that might be due to genetic variations in lipid and lipoprotein metabolism and genetic variation in controlling statins pharmacokinetics and pharmacodynamics.\(^\text{36}\)

**Combating chronic infection**

The use of antibiotics to treat chronic infection in patients with atherosclerosis did not show beneficial effect on the progress of atherosclerosis.\(^\text{37}\) This might be due to the fact that infection is only one of the initiating factor of atherosclerosis.\(^\text{6,7,11}\) Once atherogenesis is initiated, the inflammation process on the lesion will continue, whether the infection is still there or not, though the presence of chronic infection together with other risk factors will aggravate the condition. Therefore, it is supposed that preventing infection might reduce the incidence of atherogenesis.

**The use of antioxidants**

Various substances with antioxidant properties, such as vitamin C, vitamin E, and edaravone, a free radical scavenger, has been used to prevent the progress of atherosclerosis. A number of trials showed that antioxidants caused short-term improvement on endothelial function in humans, but all studies on the effect of preventive antioxidant therapy showed disappointing results.\(^\text{25}\) These facts might be due to the many factors that play a role in atherogenesis, thus giving antioxidant alone only modulate one of the many factors. In addition, oxidative stress that occurs in tissue might be better controlled by tissue/cellular derived anti oxidant such as super oxide dismutase or catalase. Therefore, treatment that enhances tissue/cellular derived antioxidant might be more beneficial.

Further, anti-hypertensive agents such as angiotensin-converting enzyme (ACE) inhibitors and angiotensin receptor blockers (ARB), and anti-hyperlipidemic agents like statins, beside their original pharmacological properties, showed anti-oxidant properties. However, clinical trial using these substances showed contradictory results on the progress of atherosclerosis.\(^\text{38}\)

**The use of anti platelet agents**

Clinical manifestation of atherosclerosis is largely due to thrombosis. Therefore reducing the platelet stickiness using anti platelet agent is very important to prevent thrombosis. Acetylsalicylic acid (aspirin), the cheapest anti platelet agent with anti inflammatory effect and thienopyridine clopidogrel, both are widely used anti-platelet drugs that significantly reduce clinical manifestations in patients with manifest atherosclerosis. However, their impact on the progress of atherosclerosis remains controversial. A study on atherosclerotic prone mice showed that combination of acetylsalicylic acid and clopidogrel reduced thrombus formation by approximately 50% after rupture of atherosclerotic plaque in vivo, but the two agents alone did not.\(^\text{39}\)

However, in patients at high risk of atherothrombotic events, clinical trials revealed that combination of clopidogrel (75 mg/d) and aspirin (75-162 mg/d) showed more or less the same effect as aspirin alone for long-term prevention of atherosclerosis clinical manifestation. In addition, in patients with presumed recent arterial cerebral ischemia, oral anticoagulant (International Normalized Ratio of 2.0-3.0) was not more effective compared to aspirin alone. However, combination of extended-release dipyridamole and aspirin was more effective than aspirin alone. A trial including more than 20,000 patients with recent (< 120 days) atherothrombotic ischemic stroke to compare the effect of clopidogrel with the combination of extended-release dipyridamole and aspirin is underway.\(^\text{40}\)

**The use of vasodilators**

To manage clinical manifestation of atherosclerosis, vasodilators are needed, together with risk factor management. In patients with hypertension, a number of anti hypertensive agents have vasodilator effect,\(^\text{31,32}\) and adjustment of therapy should refer to the whole condition of the patient.

**CONCLUSION**

The most important event in atherosclerosis is chronic inflammation due to the activation the NFkB and TGFβpathway, and atheroprogression causes apoptosis due to p53signaling. Therefore management of atherosclerosis addressing the individual risk factors using drugs with various properties coping with the molecular basis especially inflammation will be beneficial.

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